

# THE HYPERGEOMETRIC FUNCTIONS APPROACH TO THE CONNECTION PROBLEM FOR THE CLASSICAL ORTHOGONAL POLYNOMIALS

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ABSTRACT. Let  $\{P_k\}$  and  $Q_k$  be any two sequences of classical orthogonal polynomials. Using theorems of the theory of generalized hypergeometric functions, we give closed-form expressions as well as recurrence relations for the coefficients  $a_{n,k}$  in the connection equation  $Q_n = \sum_{k=0}^n a_{n,k} P_k$  ( $n \in \mathbb{N}$ ).

## 1. INTRODUCTION

Let  $\{P_k(x)\}$  and  $\{Q_k(x)\}$  be two systems of the classical orthogonal polynomials, i.e. associated with the names of Jacobi, Laguerre, Hermite and Bessel. We are looking for the coefficients  $a_{n,k}$  in

$$(1.1) \quad Q_n = \sum_{k=0}^n a_{n,k} P_k,$$

called *connection coefficients*. An analogous problem is formulated for  $\{P_k(x)\}$  and  $\{Q_k(x)\}$  being systems of the classical orthogonal polynomials of a discrete variable, i.e. associated with the names of Charlier, Meixner, Krawtchouk and Hahn.

Two type of results are met in a vast literature of this subject: closed-type formulae, or recurrence relations (usually in  $k$ ) for  $a_{n,k}$  (see [2]–[5], [10], [11], [13], [14], [16]–[25], [29]–[33], [36]).

In the present paper, we show that both types of information on  $a_{n,k}$  may be obtained using theorems of the theory of generalized hypergeometric functions.

## 2. SOME RESULTS ON GENERALIZED HYPERGEOMETRIC FUNCTIONS

Throughout this section, the letters  $p, q, r, s, t, u$  and  $n$  stand for non-negative integers. We shall use the Pochhammer's symbol

$$(\alpha)_k = \Gamma(k + \alpha) / \Gamma(\alpha).$$

The definition for the generalized hypergeometric function is

$$(2.1) \quad {}_pF_q \left( \begin{matrix} [a_p] \\ [b_q] \end{matrix} \middle| x \right) = \sum_{k=0}^{\infty} \frac{(a_p)_k x^k}{(b_q)_k k!}$$

where the symbols  $[a_p]$  and  $[b_q]$  denote sets  $a_1, a_2, \dots, a_p$  and  $b_1, b_2, \dots, b_q$  of complex parameters, respectively, such that  $-b_j \notin \mathbb{N}_0$  and  $a_i \neq b_j$  for  $1 \leq i \leq p$  and  $1 \leq j \leq q$ , and where

the above contracted notation will be used throughout the paper

$$f(a_p) = \prod_{i=1}^p f(a_i), \quad f(b_q) = \prod_{j=1}^q f(b_j),$$

$f$  being a given function.

**Lemma 2.1.**

(2.2)

$$\begin{aligned} {}_{p+r+1}F_{q+s} \left( \begin{matrix} -n, [a_p], [c_r] \\ [b_q], [d_s] \end{matrix} \middle| z\omega \right) &= \sum_{k=0}^n \binom{n}{k} \frac{(a_p)_k (\alpha_t)_k z^k}{(b_q)_k (\beta_u)_k (k+\lambda)_k} \\ &\times {}_{p+t+1}F_{q+u+1} \left( \begin{matrix} k-n, [k+a_p], [k+\alpha_t] \\ 2k+\lambda+1, [k+b_q], [k+\beta_u] \end{matrix} \middle| z \right) {}_{r+u+2}F_{s+t} \left( \begin{matrix} -k, k+\lambda, [c_r], [\beta_u] \\ [d_s], [\alpha_t] \end{matrix} \middle| \omega \right). \end{aligned}$$

*Proof.* Eq. (2.2) is a specialized form of a much more general result in [26, Vol. II, §9.1, Eq. (13)].  $\square$

**Lemma 2.2.**

$$\begin{aligned} (2.3) \quad {}_{p+r+1}F_{q+s} \left( \begin{matrix} -n, [a_p], [c_r] \\ [b_q], [d_s] \end{matrix} \middle| z\omega \right) &= \sum_{k=0}^n \binom{n}{k} \frac{(a_p)_k (\alpha_t)_k z^k}{(b_q)_k (\beta_u)_k} \\ &\times {}_{p+t+1}F_{q+u} \left( \begin{matrix} k-n, [k+a_p], [k+\alpha_t] \\ [k+b_q], [k+\beta_u] \end{matrix} \middle| z \right) {}_{r+u+1}F_{s+t} \left( \begin{matrix} -k, [c_r], [\beta_u] \\ [d_s], [\alpha_t] \end{matrix} \middle| \omega \right). \end{aligned}$$

*Proof.* Eq. (2.3) is a specialized form of a much more general result in [26, Vol. II, §9.1, Eq. (27)].  $\square$

**Lemma 2.3** ( Wimp [34]; see also Wimp [35, App. C]; or Luke [26, Vol. II, §12.4]). *Let  $\beta$ ,  $\mu$ ,  $[\phi_P]$ ,  $[\psi_Q]$  be such that none of the quantities  $\beta+1$ ,  $\phi_i$ ,  $\psi_j$  and  $\mu$  are negative integers or zero. Let  $w \in \mathbb{C} \setminus \{0\}$  and let  $\phi_i = \beta+1$  for  $i = P+1$ . Then the functions*

$$(2.4) \quad U_k(w) = \frac{(\phi_P)_k}{(k+\mu)_k (\psi_Q)_k} w^k {}_{P+1}F_{Q+1} \left( \begin{matrix} [k+\phi_{P+1}] \\ 2k+\mu+1, [k+\psi_Q] \end{matrix} \middle| w \right) \quad (k \geq 0),$$

*satisfy the difference equation*

$$(2.5) \quad \sum_{m=0}^{\theta+1} \{A_m(k; \theta+1)w + B_m(k; \theta+1)\} U_{k+m}(w) = 0,$$

where  $\theta := \max(P, Q+1)$ ,

$$(2.6) \quad A_0(k; R) \equiv 1,$$

$$\begin{aligned} (2.7) \quad A_m(k; R) &= (-1)^m \frac{(2k+\mu)_m (k+\beta+1)_m}{m! (k+\mu)_m} \\ &\times {}_{P+3}F_{P+2} \left( \begin{matrix} -m, 2k+\mu+m, [k+\phi_{P+1}+1] \\ 2k+\mu+R+1, [k+\phi_{P+1}] \end{matrix} \middle| 1 \right) \quad (1 \leq m \leq R), \end{aligned}$$

$$(2.8) \quad B_0(k, R) = B_R(k, R) \equiv 0,$$

$$(2.9) \quad B_m(k; R) = (-1)^m \frac{(2k + \mu)_{m+1} (k + \beta + 1)_m [k + \psi_Q]}{(m-1)! (k + \mu)_m [k + \phi_{P+1}]} \\ \times {}_{Q+2}F_{Q+1} \left( \begin{matrix} 1-m, 2k + \mu + m + 1, [k + \psi_Q + 1] \\ 2k + \mu + R + 1, [k + \psi_Q] \end{matrix} \middle| 1 \right) \quad (1 \leq m \leq R).$$

Functions  $U_k(w)$  do not satisfy any other difference equation of type (2.5), with  $A_m$  and  $B_m$  independent of  $w$ , of order  $\leq \theta + 1$ .

In the special case of  $P = Q + 1$  and  $w = 1$ , the above result can be refined in the following sense.

**Lemma 2.4** (Lewanowicz [15]). *Functions*

$$(2.10) \quad U_k(1) = \frac{(\phi_{Q+1})_k}{(k + \mu)_k (\psi_Q)_k} {}_{Q+2}F_{Q+1} \left( \begin{matrix} [k + \phi_{Q+2}] \\ 2k + \mu + 1, [k + \psi_Q] \end{matrix} \middle| 1 \right)$$

satisfy the difference equation

$$(2.11) \quad \sum_{m=0}^{\theta} \{A_m(k; \theta) + B_m(k; \theta)\} U_{k+m}(1) = 0,$$

of order  $\theta := Q + 1$ , notation being that of (2.7)-(2.9).

We have the following confluent version of the result given in Lemma 2.3.

**Lemma 2.5.** *Let  $\beta$ ,  $[\phi_P]$ ,  $[\psi_Q]$  be such that none of the quantities  $\beta + 1$ ,  $\phi_i$ ,  $\psi_j$  and  $\mu$  are negative integers or zero. Let  $w \in \mathbb{C} \setminus \{0\}$  and let  $\phi_i = \beta + 1$  for  $i = P + 1$ . Then the functions*

$$(2.12) \quad V_k(w) = \frac{(\phi_P)_k}{(\psi_Q)_k} w^k {}_{P+1}F_Q \left( \begin{matrix} [k + \phi_{P+1}] \\ [k + \psi_Q] \end{matrix} \middle| w \right) \quad (k \geq 0),$$

satisfy the difference equation

$$(2.13) \quad \sum_{m=0}^{\vartheta} \{C_m(k)w + D_m(k)\} V_{k+m}(w) = 0,$$

where  $\vartheta := \max(P, Q) + 1$ ,  $C_0(k) \equiv 1$ ,  $D_0(k) \equiv 0$ , and

$$(2.14) \quad C_m(k) = (-1)^m \frac{(k + \beta + 1)_m}{m!} {}_{P+2}F_{P+1} \left( \begin{matrix} -m, [k + \phi_{P+1} + 1] \\ [k + \phi_{P+1}] \end{matrix} \middle| 1 \right),$$

$$(2.15) \quad D_m(k) = (-1)^m \frac{(k + \beta + 1)_m (k + \psi_Q)}{(m-1)! (k + \phi_{P+1})} {}_{Q+1}F_Q \left( \begin{matrix} 1-m, [k + \psi_Q + 1] \\ [k + \psi_Q] \end{matrix} \middle| 1 \right)$$

for  $m = 1, 2, \dots, \vartheta$ .

*Proof.* Replacing in (2.5)  $w$  by  $\mu w$  and passing to the limit with  $\mu \rightarrow \infty$ , and making use of the confluence principle

$$\lim_{\mu \rightarrow \infty} {}_{P+1}F_{Q+1} \left( \begin{matrix} [k + \phi_{P+1}] \\ [k + \psi_Q], 2k + \mu + 1 \end{matrix} \middle| \mu w \right) = {}_{P+1}F_Q \left( \begin{matrix} [k + \phi_{P+1}] \\ [k + \psi_Q] \end{matrix} \middle| w \right)$$

(see [26, Vol. I, §3.5]), we obtain the equation

$$\sum_{m=0}^{\theta+1} \{C_m(k)w + D_m(k)\} V_{k+m}(w) = 0,$$

with  $\theta = \max(P, Q + 1)$ .

If  $P > Q$  then  $\theta = P$ , and by [26, Vol.I, Eqs. 2.9(14), (15)]  $C_{\theta+1}(k) \neq 0$ ,  $D_{\theta+1}(k) \equiv 0$ .

If  $P \leq Q$  then  $\theta = Q + 1$ , and by the above-cited equations  $C_{\theta+1}(k) = D_{\theta+1}(k) \equiv 0$ ,  $D_{\theta}(k) \neq 0$ . Hence the result.  $\square$

In the special case of  $P = Q$  and  $w = 1$ , the above result can be refined in the following sense.

**Lemma 2.6.** *The functions*

$$(2.16) \quad V_k(1) = \frac{(\phi_{Q+1})_k}{(\psi_Q)_k} Q_{+1} F_Q \left( \begin{matrix} [k + \phi_{Q+1}] \\ [k + \psi_Q] \end{matrix} \middle| 1 \right) \quad (k \geq 0)$$

satisfy the difference equation

$$(2.17) \quad \sum_{m=0}^Q \{C_m(k) + D_m(k)\} V_{k+m}(w) = 0,$$

notation being that of (2.14)-(2.15) with  $P = Q$ .

*Proof.* In Lemma 2.5, let  $P := Q$  and  $w := 1$ . It suffices to show that the last term of the sum in (2.13) vanishes, so that the order of the difference equation reduces to  $Q$ .

Indeed, using [26, Vol. I, Eq. 2.9(14)], we obtain

$$C_{Q+1} = \frac{(k + \beta + 1)_{Q+1}}{[k + \phi_{Q+1}]} = -D_{Q+1}.$$

$\square$

### 3. CLASSICAL POLYNOMIALS ORTHOGONAL ON AN INTERVAL

Let  $\{P_k(x)\}$  and  $\{Q_k(x)\}$  be two systems of the classical orthogonal polynomials, i. e. associated with the names of Jacobi, Laguerre, Hermite and Bessel. Let us look for the coefficients  $a_{n,k}$  in

$$(3.1) \quad Q_n(cx) = \sum_{k=0}^n a_{n,k}(c) P_k(x) \quad (c \neq 0),$$

slightly generalizing equation (1.1).

The following hypergeometric series representation of these polynomials is well known:

$$(3.2) \quad P_n^{(\alpha, \beta)}(x) = (-1)^n \binom{n + \beta}{n} {}_2F_1 \left( \begin{matrix} -n, n + \alpha + \beta + 1 \\ \beta + 1 \end{matrix} \middle| \frac{1+x}{2} \right),$$

$$(3.3) \quad L_n^\alpha(x) = (\alpha + 1)_n (-1)^n {}_1F_1 \left( \begin{matrix} -n \\ \alpha + 1 \end{matrix} \middle| x \right),$$

$$(3.4) \quad H_n(x) = (2x)^n {}_2F_0 \left( \begin{matrix} -n/2, -n/2 + 1/2 \\ - \end{matrix} \middle| -\frac{1}{x^2} \right),$$

$$(3.5) \quad Y_n^\alpha(x) = {}_2F_0 \left( \begin{matrix} -n, n + \alpha + 1 \\ - \end{matrix} \middle| -\frac{x}{2} \right).$$

*Shifted Jacobi polynomials* are given by

$$(3.6) \quad R_n^{(\alpha, \beta)}(x) = P_n^{(\alpha, \beta)}(2x - 1).$$

**3.1. Explicit forms for the connection coefficients.** The above results allow to obtain connection formulae between classical orthogonal polynomials. For instance, the following expansions hold:

$$(3.7) \quad \begin{cases} Y_n^\beta(cx) = \sum_{k=0}^n a_{n,k}^{BB}(c) Y_k^\alpha(x), \\ a_{n,k}^{BB}(c) = \binom{n}{k} \frac{(n+\beta+1)_k}{(k+\alpha+1)_k} c^k {}_2F_1 \left( \begin{matrix} k-n, k+n+\beta+1 \\ 2k+\alpha+2 \end{matrix} \middle| c \right); \end{cases}$$

$$(3.8) \quad \begin{cases} L_n^\gamma(cx) = \sum_{k=0}^n a_{n,k}^{LL}(c) L_k^\alpha(x), \\ a_{n,k}^{LL}(c) = (-1)^n (\gamma+1)_n \binom{n}{k} \frac{(-c)^k}{(\gamma+1)_k} {}_2F_1 \left( \begin{matrix} k-n, k+\alpha+1 \\ k+\gamma+1 \end{matrix} \middle| c \right); \end{cases}$$

$$(3.9) \quad \begin{cases} L_n^\gamma(cx) = \sum_{k=0}^n a_{n,k}^{LB}(c) Y_k^\alpha(x), \\ a_{n,k}^{LB}(c) = (-1)^n (\gamma+1)_n \binom{n}{k} \frac{(\alpha+1)_k (-2c)^k}{(\gamma+1)_k} {}_1F_1 \left( \begin{matrix} k-n \\ k+\gamma+1 \end{matrix} \middle| -2c \right); \end{cases}$$

$$(3.10) \quad \begin{cases} Y_n^\gamma(cx) = \sum_{k=0}^n a_{n,k}^{BJ}(c) R_k^{(\alpha,\beta)}(x), \\ a_{n,k}^{BJ}(c) = \frac{(-n)_k (n+\gamma+1)_k}{(k+\alpha+\beta+1)_k} \left(-\frac{c}{2}\right)^k \\ \quad \times {}_3F_1 \left( \begin{matrix} k+\beta+1, k-n, k+n+\gamma+1 \\ 2k+\alpha+\beta+2 \end{matrix} \middle| -\frac{c}{2} \right). \end{cases}$$

$$(3.11) \quad \begin{cases} R_n^{(\gamma,\delta)}(cx) = \sum_{k=0}^n a_{n,k}^{JB}(c) Y_k^\alpha(x), \\ a_{n,k}^{JB}(c) = (-1)^n \binom{n}{k} \frac{(k+\delta+1)_{n-k} (n+\gamma+\delta+1)_k}{n! (k+\alpha+1)_k} (-2c)^k \\ \quad \times {}_2F_2 \left( \begin{matrix} k-n, k+n+\gamma+\delta+1 \\ 2k+\alpha+2, k+\delta+1 \end{matrix} \middle| -2c \right); \end{cases}$$

$$(3.12) \quad \begin{cases} P_n^{(\gamma,\delta)}(x) = \sum_{k=0}^n a_{n,k}^{JJ} P_k^{(\alpha,\beta)}(x), \\ a_{n,k}^{JJ} = (-1)^n \binom{n+\delta}{n} \frac{(-n)_k (n+\gamma+\delta+1)_k}{(\delta+1)_k (k+\alpha+\beta+1)_k} \\ \quad \times {}_3F_2 \left( \begin{matrix} k-n, k+\beta+1, k+n+\gamma+\delta+1 \\ 2k+\alpha+\beta+2, k+\delta+1 \end{matrix} \middle| 1 \right); \end{cases}$$

For instance, let us prove (3.12). We use Lemma 2.1. After the following identification of the parameters

$$\begin{aligned} p = q = t = 1, \quad r = s = u = 0, \\ [a_p] = \{n + \gamma + \delta + 1\}, \quad [b_q] = \{\delta + 1\}, \quad [c_r] = [d_s] = \emptyset, \\ [\alpha_t] = \{\beta + 1\}, \quad [\beta_u] = \emptyset, \quad z = 1, \quad \omega = \frac{1+x}{2} \end{aligned}$$

in equation (2.2), we obtain the formula

$$\begin{aligned} {}_2F_1 \left( \begin{matrix} -n, n + \mu \\ \delta + 1 \end{matrix} \middle| \frac{1+x}{2} \right) &= \sum_{k=0}^n \binom{n}{k} \frac{(\beta + 1)_k (n + \mu)_k}{(k + \lambda)_k (\delta + 1)_k} \\ &\times {}_3F_2 \left( \begin{matrix} k - n, k + \beta + 1, k + n + \mu \\ 2k + \lambda + 1, k + \delta + 1 \end{matrix} \middle| 1 \right) {}_2F_1 \left( \begin{matrix} -k, k + \lambda \\ \beta + 1 \end{matrix} \middle| \frac{1+x}{2} \right) \end{aligned}$$

with  $\lambda := \alpha + \beta + 1$  and  $\mu := \gamma + \delta + 1$ . Hence the result.

The proof of the remaining formulae is similar.

**3.2. Recurrence relations for the connection coefficients.** On applying Lemmata 2.3-2.6, one can easily obtain a recurrence relation of the form

$$(3.13) \quad \sum_{i=0}^r A_i(k) a_{n,k+i}(c) = 0$$

for the connection coefficients in (3.1). Table 1 contains the values of the order  $r$  of this recurrence relation for many pairs of the classical families.

TABLE 1. The order  $r$  of the recurrence relation (3.13)

$Q_n(cx)$	$P_k(x)$		
	$L_k^\alpha(x)$	$R_k^{(\alpha,\beta)}(x)$	$Y_k^\alpha(x)$
$L_n^\gamma(cx)$	2 (general case) 1 ( $c = 1$ )	3	2
$R_n^{(\gamma,\delta)}(cx)$	3	3 (general case) 2 ( $c = 1 \vee \beta = \delta$ ) 1 ( $c = 1 \wedge \beta = \delta$ )	3
$Y_n^\gamma(cx)$	3	3	2 (general case) 1 ( $c = 1$ )

## 4. CLASSICAL ORTHOGONAL POLYNOMIALS OF A DISCRETE VARIABLE

Now, let  $\{P_k(x)\}$  and  $\{Q_k(x)\}$  be two systems of the classical orthogonal polynomials of a discrete variable, i.e. associated with the names of Charlier, Maeixner, Krawtchouk and Hahn. Let us look for the coefficients  $a_{n,k}$  in

$$(4.1) \quad Q_n(x) = \sum_{k=0}^n a_{n,k} P_k(x).$$

The following hypergeometric series representations of the classical polynomials are well known:

$$(4.2) \quad Q_n(x; \alpha, \beta, N)(x) = {}_3F_2 \left( \begin{matrix} -n, -x, n + \alpha + \beta + 1 \\ \alpha + 1, -N \end{matrix} \middle| 1 \right),$$

$$(4.3) \quad m_n^{(\gamma, \mu)}(x) = (\gamma)_n {}_2F_1 \left( \begin{matrix} -n, -x \\ \gamma \end{matrix} \middle| 1 - \frac{1}{\mu} \right),$$

$$(4.4) \quad k_n^{(p)}(x, N) = (-p)^n \binom{N}{n} {}_2F_1 \left( \begin{matrix} -n, -x \\ -N \end{matrix} \middle| -\frac{1}{p} \right),$$

$$(4.5) \quad c_n^{(\mu)}(x) = {}_2F_0 \left( \begin{matrix} -n, -x \\ - \end{matrix} \middle| -\frac{1}{\mu} \right).$$

**4.1. Explicit forms for the connection coefficients.** As in the "continuous" case, we can very easily obtain connection formulae between classical orthogonal polynomials. For instance, the following expansions hold:

$$(4.6) \quad \left\{ \begin{array}{l} Q_n(x; \alpha, \beta, N)(x) = \sum_{k=0}^n a_{n,k}^{HM} m_n^{(\gamma, \mu)}(x), \\ a_{n,k}^{HM} = \binom{n}{k} \frac{(n + \alpha + \beta + 1)_k}{(1 - N)_k (\beta + 1)_k} \left( \frac{\mu}{\mu - 1} \right)^k \\ \quad \times {}_3F_2 \left( \begin{matrix} k + \gamma, k - n, k + n + \alpha + \beta + 1 \\ k + \beta + 1, k + 1 - N \end{matrix} \middle| \frac{\mu}{\mu - 1} \right); \end{array} \right.$$

$$(4.7) \quad \left\{ \begin{array}{l} c_n^{(\mu)}(x) = \sum_{k=0}^n a_{n,k}^{CH} Q_k(x; \alpha, \beta, N)(x), \\ a_{n,k}^{CH} = \binom{n}{k} \frac{(1 - N)_k (\beta + 1)_k}{(k + \alpha + \beta + 1)_k (-\mu)^k} {}_3F_1 \left( \begin{matrix} k + \beta + 1, k + 1 - N, k - n \\ 2k + \alpha + \beta + 2 \end{matrix} \middle| -\frac{1}{\mu} \right); \end{array} \right.$$

$$(4.8) \quad \left\{ \begin{array}{l} m_n^{(\gamma, \mu)}(x) = \sum_{k=0}^n a_{n,k}^{MM}(c) m_k^{(\alpha, \beta)}(x), \\ a_{n,k}^{MM} = \binom{n}{k} \frac{(\gamma)_n}{(\gamma)_k} \left[ \frac{\beta(\mu - 1)}{\mu(\beta - 1)} \right]^k {}_2F_1 \left( \begin{matrix} k + \alpha, k - n \\ k + \gamma \end{matrix} \middle| \frac{\beta(\mu - 1)}{\mu(\beta - 1)} \right) \end{array} \right.$$

**4.2. Recurrence relations for the connection coefficients.** On applying Lemmata 2.3-2.5, one can easily obtain a recurrence relation of the form

$$(4.9) \quad \sum_{i=0}^r A_i(k) a_{n,k+i} = 0$$

for the connection coefficients in (4.1). Table 2 contains the value of the order  $r$  of this recurrence relation for all the pairs of the classical families.

TABLE 2. The order  $r$  of the recurrence relation (4.9)

$Q_n(x)$	$P_k(x)$			
	$c_k^{(\mu)}(x)$	$m_k^{(\gamma, \mu)}(x)$	$k_k^{(p)}(x, N)$	$Q_k(x; \alpha, \beta, N)$
$c_n^{(\nu)}(x)$	1	2	2	3
$m_n^{(\delta, \nu)}(x)$	2	2 (general case) 1 ( $\delta = \gamma \vee \nu = \mu$ )	2	3 (general case) 2 ( $\delta = \beta + 1$ )
$k_n^{(q)}(x, M)$	2	2	2 (general case) 1 ( $q = p \vee M = N$ )	3 (general case) 2 ( $M = N + 1$ )
$Q_n(x; \eta, \theta, M)$	3	3 (general case) 2 ( $\gamma = \eta + 1$ )	3 (general case) 2 ( $M = N + 1$ )	3 (general case) 2 ( $M = N$ ) 1 ( $M = N \wedge \eta = \alpha$ )

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